

# Analysis and Comparison of Control Strategies for a DFIG-Small Wind Turbine System with High Fluctuating Wind Speed Conditions

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## Analysis and Comparison of Control Strategies for a DFIG-Small Wind Turbine System with High Fluctuating Wind Speed Conditions

Iwan Setiawan<sup>1</sup>, Mochammad Facta<sup>1</sup>, Ardyono Priyadi<sup>2</sup>, Mauridhi Hery Purnomo<sup>2</sup>

**Abstract** – The main purposes of this paper are to analyse and to compare power quality, modes of machine operation and robustness under two difference control strategies on DFIG-based wind turbine systems: (1) Rotor speed control strategy (RSCS) and (2) Power control strategy (PCS). Both of these strategies could be utilized to maximize wind-power harvesting. In this work, the feedback control loops are designed by using the same optimum Proportional Integral-based vector control strategy. The major focus in this study is on a small-scale wind turbine that characterized by a small mass moment of inertia. By using simulation studies, it is found that the power dynamic resulted by the two control strategies under fluctuating wind conditions are relatively different: the power generated under the RSCS is more fluctuate compare to the PCS. Even, for extreme cases where the wind speed changes suddenly, the utilization of the RSCS for a while could bring the machine enters to the motoring mode. In the motoring mode, instead delivering power to the grid, the stator windings of the DFIG will absorb some power from the grid. From simulation results, it is also found that the RSCS in general is less robust compared to the PCS. Copyright © 2017 Praise Worthy Prize S.r.l. - All rights reserved.

**Keywords:** DFIG, Motoring Mode, Power Control Strategy, Rotor Speed Control Strategy

### Nomenclature

$A$	Turbine blade swept area
$B_{wt}$	Wind turbine friction coefficient
$B_{gen}$	Generator friction coefficient
$C_p$	Turbine power factor
$J_{wt}$	Turbine inertia
$J_{wt}$	Wind turbine moment of inertia ( $\text{kg m}^2$ )
$J_g$	Generator moment of inertia ( $\text{kg m}^2$ )
$K$	Stiffness coefficient
$K_p, T_i$	Proportional gain and Time integrator of the
$L_s$	Stator Inductance (H)
$L_M$	Mutual Inductance (H)
$M_{wt}$	Turbine mass (kg)
$n$	Gear ratio
$P_{wind}$	Wind power (W)
$P_{wt}$	Wind turbine power output (W)
$P_{opt}$	Optimal wind turbine power output (W)
$P_s$	Stator active power (W)
$Q_s$	Stator reactive power (VAr)
$R$	Turbine blade radius (m)
$R_s$	Stator Resistance (ohm)
$s$	Laplace variable
$T_{cl}$	Time constant of the closed loop system (s)
$T_{wt}$	Wind turbine torque (N m)
$T_e$	Electromagnetic torque of the generator (N m)
$u_{d(q)r}$	d-q rotor total control output
$u_{pi}$	Output of PI controller
$v$	Wind speed (m/s)
$v_{ds}, i_{ds}$	d-axis component of the stator voltage (V) and current vector (A)

$v_{dr}, i_{dr}$	d-axis component of the rotor voltage (V) and current vector (A)
$\rho$	Air density ( $\text{kg/m}^3$ )
$\omega_{wt}$	Turbine rotor rotation speed (rad/s)
$\omega_{wt}$	Turbine rotor rotation speed reference (rad/s)
$\beta$	Blade pitch angle (degree)
$\lambda$	Wind turbine tip speed ratio
$\lambda_{opt}$	Optimal wind turbine tip speed ratio
$\omega_r$	Generator rotor rotation speed (rad/s)
$\psi_{ds}$	d-axis component of the stator flux (V m)
$\psi_{qs}$	q-axis component of the stator flux (V m)
$\psi_{dr}$	d-axis component of the rotor flux (V m)
$\psi_{qr}$	q-axis component of the rotor flux (V m)
$\omega_{se}$	Synchronous frequency (rad/s)
$\omega_{sl}$	Slip frequency (rad/s)
$\sigma$	Leakage coefficient

### I. Introduction

It is well-known that until now, DFIG-based wind turbines are one of the most popular wind power generation systems worldwide [1]-[3].

The DFIG-wind turbine systems could be categorized as variable-speed wind turbines (VAWTs) where the speed of the turbine rotor could be controlled to get optimized wind energy harvesting. Compared to other wind turbine generation systems, the DFIG-wind turbine system is more superior due to several reasons as follows: (1) Independent control of active and reactive powers, (2) Reduction in power converter losses and (3),

Reduction in wind turbine mechanical stresses [4]-[7].

Technically, the DFIG is a wound rotor induction machine in which the three stator windings of the machine are directly connected to a three-phase grid, while the rotor windings are connected to the grid via AC/DC/AC converters. In generating the machine mode, the electrical power always flows from the stator to the grid.

However, the direction of power flow in rotor winding basically depends on the state of the rotor speed: if the rotor runs below the synchronous speed of the machine (sub-synchronous), the rotor will receive power from the grid via the AC/DC/AC converters, and conversely if the rotor runs beyond the synchronous speed of the machine (super-synchronous), then the rotor will deliver power to the grid via the same converters.

To maximize the wind power production of wind turbine systems, an algorithm well-known as maximum power point tracking (MPPT) is usually utilized as a main strategy to extract wind power [8]-[9]. In this strategy, the rotor of the generator varies to track the maximum power generated by the turbine.

The tracking of maximum power using the MPPT algorithms in practice could be implemented in two different ways: (1) The rotor speed control strategy (RSCS) [10]-[13] and (2) the power control strategy (PCS) [14]-[16]. In the RSCS, the MPPT indirectly achieved by means of a feedback control of the rotor speed. The rotor speed reference in this strategy is derived from the optimal Tip Speed Ratio (TSR) of the wind turbine. Whereas, in the PCS, the MPPT is directly derived by means of a feedback control of the generator stator power.

The stator power reference in this strategy is derived from the slip and the maximum output mechanical power of the wind turbine. Due to the control strategies different principles, the dynamic of the wind turbine variables in general will also be different depending on the chosen strategy.

There are several works trying to investigate the control strategies of the DFIG-based wind turbine systems. In [17], Dongdong Li, et.al investigated the dynamic of the wind turbine variables under RSCS and PCS. To explore the output variable response in transient state, Dongdong Li used step wind changes. The relatively complete investigation of the control strategies and their influences on performance were studied by Ling, Yu et.al. [18]. In their studies, the power output and dynamic rotor speed as well as the power coefficient resulting from control strategies were investigated.

The main objective of this paper is basically in line with the two last papers, however the study of the wind turbine dynamic done in this paper is relatively more complete. Besides investigating the problems of power quality, efficiency, and stability of the rotor speed, the authors also present control design steps and study the effect of controller parameter changes on control system performances. In this work, the focus is on a small scale DFIG-based wind turbine system.

## II. System Model

Fig. 1 shows the topology of a typical DFIG-wind turbine system. Compared to other wind turbine-based power generation systems, the control system of a DFIG-wind turbine is relatively complicated. As shown in Fig. 1, there are two converter systems which are independently controlled: a Rotor side converter (RSC) and a Grid side converter (GSC). The major role of the RSC control system is to control rotor excitation current such that the control objective could be achieved. In many cases, the objective of the control system is to extract the maximum wind power by means of a feedback control of the rotor speed or the stator power. Whereas the GSC control system has the main function to inject the energy surplus at the DC bus capacitor to the grid by means of regulating the voltage of the DC bus at a certain level [19].

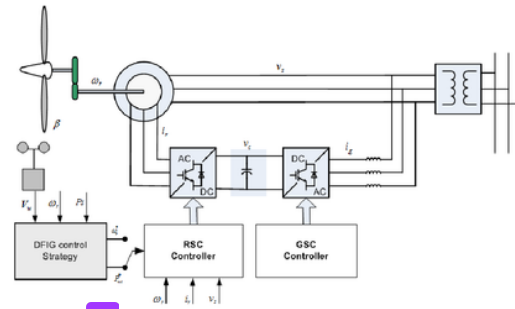


Fig. 1. DFIG-Wind Turbine control system model

The operation mode of these two converters basically depends on the state of the generator rotor speed and will always be opposite: In the sub-synchronous state, the RSC has the role of inverter that converts the DC power of the DC bus capacitor to the AC power of the rotor windings, and at the same time, the GSC has the role of rectifier by converting the AC power of the grid to the DC power of the DC bus capacitor. While at the super-synchronous state, the RSC has the role of rectifier by converting the AC power of the rotor windings to the DC power of the DC bus, and at the same time, the GSC has the role of inverter by converting the DC power of the DC bus to AC power of the grid. Due to the inverse operation of these two converters, the configuration of these converters is also well-known as back to back AC/DC/AC converters.

From the control system point of view, the rotor side DFIG-wind turbine system model is composed of several important component and input models: a wind turbine aerodynamic model, a drive train model, a DFIG model, a wind model, and a control system model.

### II.1. A Wind Turbine Aerodynamic Model and MPPT DFIG Control Strategies

A Wind turbine is an energy conversion system that converts wind power into mechanical power and

subsequently transforms this mechanical power into electrical power via a generator. The formulation of wind power- $P_{wind}$  (watt) in general is represented by (1):

$$P_{wind} = \frac{1}{2} \rho A v^3 \quad (1)$$

where  $\rho$ ,  $A$ , and  $v$  are respectively air density ( $\text{kg/m}^3$ ), turbine blade swept area ( $\text{m}^2$ ), and wind speed ( $\text{m/s}$ ). However, in practice, wind power cannot be always converted into mechanical power, the power absorption by the wind turbine ( $P_{wt}$ ) depends on the turbine power factor ( $C_p$ ) which is affected by the turbine design. Eqs. (2) and (3) respectively show the power and its torque generated by the wind turbine:

$$P_{wt} = \frac{1}{2} \rho A C_p v^3 \quad (2)$$

$$T_{wt} = \frac{P_{wt}}{\omega_{wt}} \quad (3)$$

where  $\omega_{wt}$  is the turbine rotor rotation speed ( $\text{rad/s}$ ). Referring to [20], the power factor of a wind turbine could be approximated by:

$$C_p = 0.73 \left( \left( \frac{1}{\lambda - 0.02\beta} - \frac{0.003}{\beta^3 + 1} \right) 15 - 0.58\beta - 0.002\beta^{2.14} - 13.2 \right) \times e^{-18.4 \left( \frac{1}{\lambda - 0.02\beta} - \frac{0.003}{\beta^3 + 1} \right)} \quad (4)$$

In this case,  $\beta$  and  $\lambda$  are respectively the blade pitch angle (degree) and the wind turbine tip speed ratio-TSR which is defined as:

$$\lambda = \frac{R\omega_{wt}}{v} \quad (5)$$

where  $R$  is the turbine blade radius (m). By using (4), the relation between  $C_p$  with TSR for  $R=2.5$  and several values of  $\beta$  could be shown in Fig. 2.

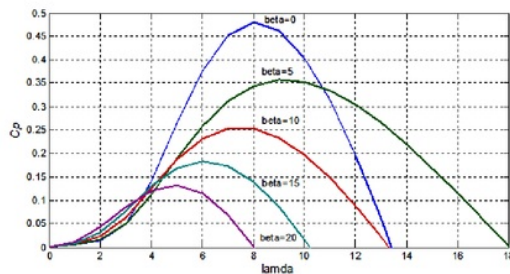


Fig. 2. The plot of  $C_p$  vs TSR for several value of  $\beta$

The important point that can be derived from Fig. 2 is that, for a certain value of  $\beta$ , there is always a maximum point of  $C_p$  related to the optimum TSR  $\lambda_{opt}$ . By using it,

the maximum wind power could be practically extracted by means of controlling the turbine rotor speed such that an optimum TSR is achieved at any time. This technique is also known as the RSCS. To gain this optimum TSR, the rotor speed reference ( $\omega_{wt}^*$ ) could be directly derived from (5):

$$\omega_{wt}^* = \frac{\lambda_{opt} v}{R} \quad (6)$$

Besides the RSCS, the MPPT could be achieved by using the PCS. In this strategy, the output power of the wind turbine is directly controlled so that the optimum TSR is achieved at any time. In this control strategy, the power reference could be derived from (2). For the optimum TSR, the arbitrary value of wind speed basically relates to certain turbine rotor speed, so by considering the TSR formulation, the active power reference could be represented as shown in (7):

$$P_{wt}^* = K_{opt} \omega_{wt}^3 \quad (7)$$

where:

$$K_{opt} = \left( \frac{1}{2} \frac{\rho A R^3 C_{p,max}}{\lambda_{opt}^3} \right)$$

Fig. 3(a) and Fig. 3(b) respectively show the mechanical turbine power ( $P_{wt}$ ) and the torque plots versus the turbine rotor rotation speed for several wind speeds along with their references.

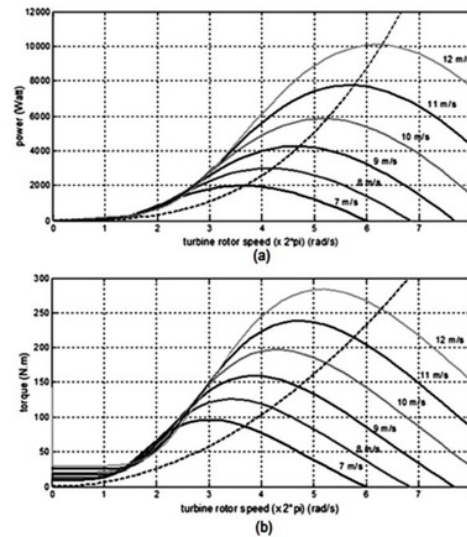


Fig. 3. The dashed line show (a) the power reference and (b) the torque reference in the MPPT strategy (with  $R=2.5$  m)

## II.2. Mechanical Drive Train Model

The major purpose of a drive train system in the wind

turbine system is to transmit mechanical power from a low speed wind turbine to a relatively high speed generator. The interconnection of a drive train system could be modeled as shown in Fig. 4 [20].  $B_{wt}$ ,  $B_{gen}$ , and  $K$  respectively are a wind turbine friction coefficient, a generator friction coefficient and a stiffness coefficient. However due to the size of the wind turbine under this study is relatively small, then for control design simplification, the frictions in the system are ignored and it is also assumed that the shaft is very rigid. Therefore with these assumptions, the dynamic model of the mechanical drive train could be represented as one-lumped mass model that could be mathematically represented by (8):

$$\left(\frac{J_{wt}}{n^2} + J_g\right) \frac{d\omega_r}{dt} = T_e - \frac{T_{wt}}{n} \quad (8)$$

where  $J_{wt}$ ,  $J_g$ ,  $n$  are respectively the wind turbine moment of inertia ( $\text{kg m}^2$ ), the generator moment of inertia ( $\text{kg m}^2$ ) and the gear ratio. Whereas  $\omega_r$  and  $T_e$  are respectively the generator rotor rotation speed (rad/s) and electromagnetic torque of the generator (Nm). By referring to [21], the turbine mass and the turbine inertia could be calculated by using these relations:

$$M_{wt} = 1.6R^{2.3} \quad (9)$$

$$J_{wt} = 0.212M_{wt}R^2 \quad (10)$$

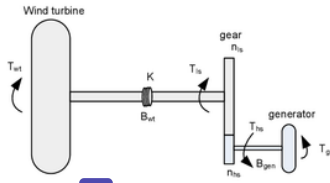


Fig. 4. Mechanical model of the wind turbine and the generator

### II.3. DFIG and Grid Mathematical Model

In the synchronous reference frame, the dynamic of the DFIG could be represented as follows [22]:

$$v_{ds} = R_s i_{ds} + \frac{d}{dt}(\psi_{ds}) - \omega_{se} \psi_{qs} \quad (11a)$$

$$v_{qs} = R_s i_{qs} + \frac{d}{dt}(\psi_{qs}) + \omega_{se} \psi_{ds} \quad (11b)$$

$$v_{dr} = R_r i_{dr} + \frac{d}{dt}(\psi_{dr}) - (\omega_{se} - \omega_r) \psi_{qr} \quad (11c)$$

$$v_{qr} = R_r i_{qr} + \frac{d}{dt}(\psi_{qr}) + (\omega_{se} - \omega_r) \psi_{dr} \quad (11d)$$

whereas the relations of the currents and flux linkages are:

$$\psi_{ds} = L_s i_{ds} + L_m i_{dr} \quad (12a)$$

$$\psi_{qs} = L_s i_{qs} + L_m i_{qr} \quad (12b)$$

$$\psi_{dr} = L_r i_{dr} + L_m i_{ds} \quad (12c)$$

$$\psi_{qr} = L_r i_{qr} + L_m i_{qs} \quad (12d)$$

In DFIG systems, the electromagnetic torque, the stator active and reactive powers are calculated respectively by using (13), (14) and (15) below:

$$T_e = \frac{3}{2} p (\psi_{ds} i_{qs} - \psi_{qs} i_{ds}) \quad (13)$$

$$P_s = \frac{3}{2} (v_{ds} i_{ds} + v_{qs} i_{qs}) \quad (14)$$

$$Q_s = \frac{3}{2} (v_{qs} i_{ds} - v_{ds} i_{qs}) \quad (15)$$

to control the stator power independently. In this control scheme, the stator flux is aligned with the  $d$ -axis of the rotating reference frame. By ignoring the stator flux dynamic and using the fact that the stator resistance is quite small, the  $d$ -axis stator flux and the  $q$ -axis stator current could be respectively simplified by (16) and (17) below:

$$\psi_{ds} = \frac{v_s}{\omega_{se}} \quad (16)$$

$$i_{qs} = -\frac{L_m}{L_s} i_{qr} \quad (17)$$

Substituting the last two equations into (13)-(15), the electromagnetic torque and the stator power of the DFIG could be respectively represented as follows:

$$T_e = -\frac{3}{2} p \frac{L_m}{L_s} \frac{v_s}{\omega_{se}} i_{qr} \quad (18)$$

$$P_s = -\frac{3}{2} \frac{L_m}{L_s} (v_{qs} i_{qr}) \quad (19)$$

$$Q_s = \frac{3}{2} \left( \frac{v_{qs}^2}{\omega_{se} L_s} - \frac{v_{qs} L_m}{L_s} i_{dr} \right) \quad (20)$$

From the last three equations, it is shown that the torque and the active stator power could be controlled by manipulation of the rotor  $q$ -axis current component while the reactive power could be controlled by a manipulation of the rotor  $d$ -axis current component so the decoupled control is achieved.

### II.4. Wind Model

The wind speed in a certain time range could be

mathematically expressed as composition of average and perturbation due to wind turbulence [23].

Fig. 5 shows the real sample of a very short term wind speed recorded at Nganjuk, in Indonesia, an area that has high-potential wind energy. However, the wind profile used in this study was generated by the computer program.

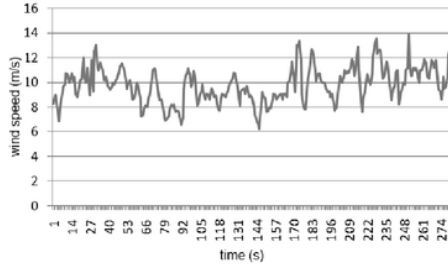


Fig. 5. Real sample of wind speed

### III. Control Design

By referring to the DFIG-wind turbine model, the real and reactive power of the system could be respectively controlled by manipulating the  $d$ -axis and  $q$ -axis current components of the generator rotor. In DFIG-wind turbine systems, the reference of these current components could be derived from the outer feedback control output of the stator power, as in the power control strategy, or from the outer feedback control output of the rotor speed, as in the rotor speed control strategy.

Therefore, practically there are two control loops which need to be designed: the inner current control loop and the outer power control loop or the rotor speed control.

#### III.1. Inner Current Control Loop Design

By substituting the rotor dynamics with rotor voltage equations, and doing some simplification steps, the rotor currents dynamic could be derived from:

$$\frac{d}{dt} i_{dr} = -\frac{R_r}{L_r \sigma} i_{dr} + \frac{1}{L_r \sigma} v_{dr} + d_{dr} \quad (21)$$

$$\frac{d}{dt} i_{qr} = -\frac{R_r}{L_r \sigma} i_{qr} + \frac{1}{L_r \sigma} v_{qr} + d_{qr} \quad (22)$$

In this case,  $\sigma$  is well-known as a leakage coefficient, while  $d_{dr}$  and  $d_{qr}$  could be regarded as disturbances:

$$\sigma = \left[ 1 - \frac{L_m^2}{L_s L_r} \right]$$

$$d_{dr} = \omega_{sl} i_{qr}$$

$$d_{qr} = -\omega_{sl} i_{dr} - \frac{\omega_{sl} L_m}{L_s L_r} \frac{v_s}{\omega_{se}}$$

As shown in (21) and (22), the dynamics of the  $d$ - $q$  axis current components of the circuit are basically coupled first-order systems. To control the current, the standard PI controller plus the feedforward controller could be utilized as follows:

$$u_{d(q)r} = u_{pi} - d_{d(q)r} \quad (23)$$

where  $u_{d(q)r}$  and  $u_{pi}$  are respectively the total control output and the PI control output. By substituting (23) to (21) and (22), the dynamics of the  $d$ - $q$  axis current components could be represented by the transfer function form:

$$H_{d(q)}(s) = \frac{I_{d(q)r}(s)}{u_{d(q)r}(s)} = \frac{1/R_r}{\left(\frac{L_r \sigma}{R_r}\right)s + 1} \quad (24)$$

By utilizing the pole placement technique, the PI control parameters could be easily obtained by using (25):

$$K_i = \frac{R_r}{T_{ci}}, K_p = \frac{L_r \sigma}{T_{ci}} \quad (25)$$

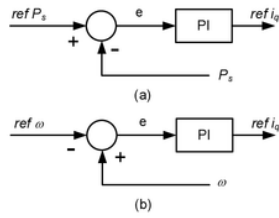
where  $K_r$ ,  $K_p$  and  $T_{ci}$  are respectively an integrator gain, a proportional gain and a desired closed loop time constant. The final transfer function of the closed loop system by using the pole placement technique is shown in (26):

$$\frac{I_{d(q)r}(s)}{I_{d(q)r}^*(s)} = \frac{1}{T_{ci}s + 1} \quad (26)$$

#### III.2. The Outer Loop Control Design

As discussed in section (2), there are two strategies that could be used to extract the maximum wind turbine power: the PCS and the RSCS. In practice, these two different control strategies could be implemented by using a PI controller where the PI parameter could be tuned by using standard methods.

However, there is one thing that should be carefully considered: The PI mode for the power control strategy and the PI mode for the rotor speed control strategy are different. In this case, the PI mode for the power control strategy is the reverse mode, while the PI mode for the rotor speed control strategy is the direct mode. The determination of the mode of the control strategies could be basically achieved from the analysis of power and torque relation vs rotor speed, as depicted in Fig. 3. From the plots, it could be seen that for a certain wind speed value, the change of real power will have the same direction of the torque change (direct acting) and will have opposite direction with respect to the change of rotor speed (reverse acting). Thus, by considering those facts, the power mode or the torque control is reverse mode, while the mode of the rotor speed control is direct mode, as depicted in Figs. 6.



Figs. 6. Controller mode: Reverse mode of the PCS (a) and direct mode of the RSCS (b)

### III.2.1. Power Control Loop Design

An easy way to implement PSC is by using the stator power feedback control. By referring to (19) and (26), the transfer function of the real stator power could be rewritten as:

$$\frac{P_s(s)}{I_{qr}^*(s)} = \frac{K}{T_{cl}s + 1} \quad (27)$$

where:

$$K = -\frac{3}{2} \frac{L_m}{L_s} (v_{qs})$$

By using the pole placement technique as done in the current control loop design, the PI control parameter could be obtained:

$$K_i = \frac{1}{KT_{cl}}, K_p = \frac{1}{K} \quad (28)$$

By using the same technique, it could be proved that the optimal parameter for the reactive power feedback control could also be derived by using (28). Eq. (28) shows the closed loop transfer function of the PCS by using the pole placement technique:

$$\frac{P_s(s)}{p_s^*(s)} = \frac{1}{T_{cl}s + 1} \quad (29)$$

By assuming that the generator is a lossless component, the real stator power reference ( $p_s^*(s)$ ), could be derived from the power balance relation, as shown in (30):

$$P_{wt} = P_s + P_r \quad (30)$$

Considering that  $P_r = -sP_s$ , then:

$$P_s = \frac{P_{wt}}{1 - s}$$

Therefore, with reference to the optimal power relation in (7), the reference for the real stator power control strategy could be represented as:

$$P_s^* = \frac{K_{opt}}{1 - s} \omega_{wt}^3 \quad (31)$$

whereas the reference for the reactive power feedback control is usually set to zero.

### III.2.2. Rotor Speed Control Loop Design

With reference to the drive train mechanical model of the wind turbine, the dynamics of the DFIG rotor speed could be basically represented as shown in (32):

$$\frac{d\omega_r}{dt} = \frac{T_e}{J} - \frac{T_{wt}}{nj} \quad (32)$$

where  $J$  is the total moment of the wind turbine and the generator inertia:

$$J = \left( \frac{J_{wt}}{n^2} + J_g \right)$$

By considering (18), then (32) could be rewritten as follows:

$$\frac{d\omega_r}{dt} = \frac{K_e i_{qr}}{J} - \frac{T_{wt}}{nj} \quad (33)$$

where:  $K_e = -\frac{3}{2} p \frac{L_m v_s}{L_s \omega_{se}}$

The transfer function of  $\omega_e$  to  $I_{qr}^*$  in this case could be derived by substituting (26) to (33):

$$H(s) = \frac{\omega_e(s)}{I_{qr}^*(s)} = \left( \frac{1}{T_{cl}s + 1} \right) \frac{K_e}{Js} \quad (34)$$

An easy way to get the optimal parameter PI method for the model with the transfer function (34) is by using the symmetrical optimum method. By using this technique, the parameter of the PI could be found by:

$$K_p = \frac{J}{aK_eT_{cl}}, K_i = \frac{K_p}{a^2T_{cl}}, \text{ where } a = 2, 3, \dots \quad (35)$$

## IV. Simulation Result and Discussion

To investigate the dynamic of the DFIG-wind turbine under control of PCS and the RSCS, the complete simulation model based on the component models of the DFIG-wind turbine system has been built under Matlab/Simulink environment. Fig. 7 shows the complete block diagram of the wind turbine control system under simulation study.

The model parameters of the wind turbine used in the study are presented in the Appendix. In this work, the time sampling and the desired time constant for the current and power control loops are 1ms and 10ms respectively. For those parameters, the optimal control parameters which resulted from the design methods are shown in Table I.

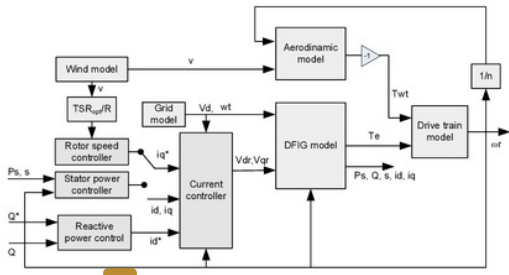


Fig. 7. Block Diagram of the DFIG-wind Turbine model

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TABLE I  
THE OPTIMAL CONTROL PARAMETERS

Parameter	Current control	Power control	Rotor speed control
$K_p$	7.197	0.002266	4.26 ( $a=30$ )
$K_i$	650	2.2668	4.73

IV.1. General Performance of the Control Strategies

The performance of the two different control strategies under the same wind speed profile will be evaluated in this Section. Fig. 8 shows the wind speed generated by the computer used in this simulation. For the wind speed profile depicted, the response of the output variables of the DFIG-wind turbine are plotted in Figs. 9 to Fig. 12.

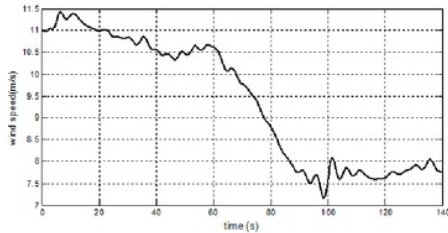
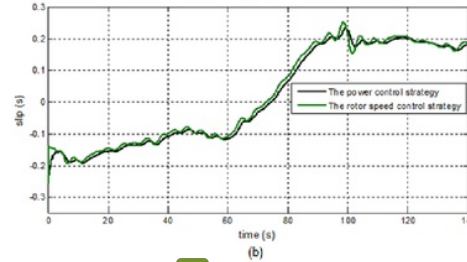
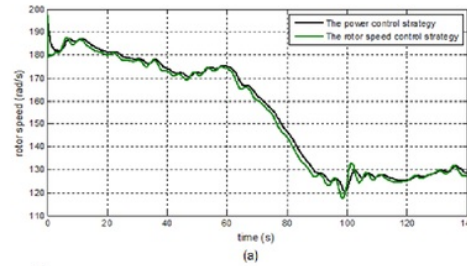


Fig. 8. Random wind profile that used in the simulation

From Fig. 9(a), it is clear that the rotor speed response under PCS and RSCS in general is relatively different. Through a careful observation, it seems obvious that the speed fluctuation that resulted from RSCS is almost the same as the fluctuation of wind speed.

In this case, the more fluctuating the wind speed, the more fluctuating the power generated by the generator too. Whereas, compared to the RSCS, the speed response of the PCS under the same wind profile looks more damped.

From Fig. 9(b), it could be seen that for the first 70 seconds simulation time, the relatively high wind speed will make the rotor under both of the control strategies run in super-synchronous state (the slip is negative), while for >70s where the wind speed starts to slow down, the rotor speed will start to entering the sub-synchronous state and this time the rotor slip will start to be positive.



Figs. 9. Response of the rotor speed (a) and the slip of the rotor (b) under two different control strategies

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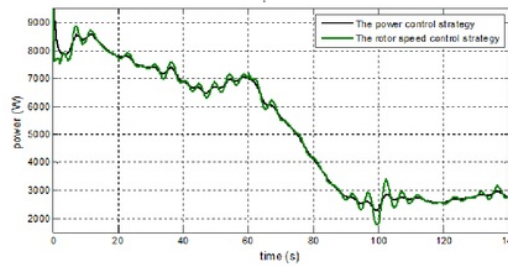
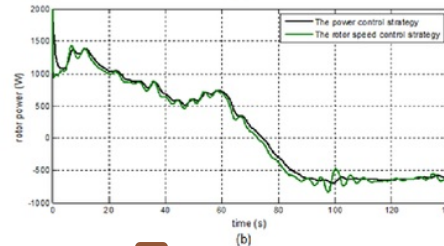
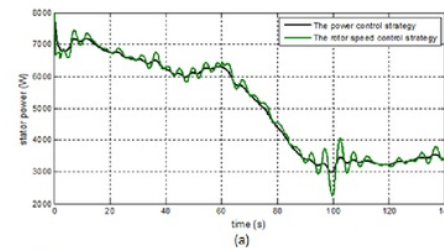


Fig. 10. Response of the total power under two different control strategies



Figs. 11. Response of the stator power (a) and the rotor power (b) under two different control strategies

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Fig. 10 shows the DFIG power dynamics for the two control strategies. Almost similar to the response of the rotor speed, the power dynamic resulting from PCS is more dampened and smooth compared to the power generated by the RSCS. Thus, from the power quality point of view, the PCS is superior compared to the RSCS.

As it has been discussed in Section 2, the total power generated by the DFIG could be basically decomposed in two power components: the stator power and the rotor power. The response of these powers are shown at Fig. 11(a) and Fig. 11(b) respectively. From Fig. 11(a) it is shown that the stator power is always positive, or, in other words, the stator power always flows from the stator to the grid. However, as shown in Fig. 11(b), the direction of the rotor power will basically depend on the rotor slip: in super synchronous condition, the rotor power will be positive, this means that the real power will flow from the rotor to the AC/DC/AC converters, while at the sub-synchronous condition, the power will be negative, this means that the power will flow in the counter direction.

Fig. 12 shows the power coefficient of the wind turbine under two different control strategies. From the plots it is obvious that during power extraction, the power coefficient under the PCS always fluctuates around the optimal value, whereas the power coefficient under the RSCS is almost settled at the optimal value. So from the MPPT point of view, the PCS is less efficient compared to the RSCS.

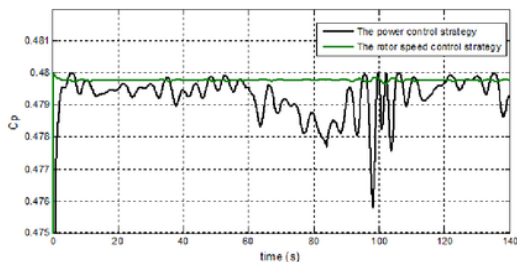


Fig. 12. Power coefficients of the wind turbine under two different control strategies

#### IV.2. Transient Characteristic: a Deeper Analysis

In this subsection, the transient response of the DFIG output variables such as the rotor speed and the output power under PSC and RSCS will be analyzed more deeply. As could be seen from the previous results, by using the random wind speed, the dynamic of the wind turbine output variables under the different control strategies are not easy to distinguish. Due to the fact that a wind turbine control system is basically a regulator system as well as a tracking system, the changes of the wind speed in this case could be regarded as a disturbance to the control system. From the control system point of view, the behavior of the output variables will emerge and look apparent if the wind turbine is disturbed by

relatively extreme signals such as step signals.

Therefore, to explore the transient dynamic of wind turbine under extreme case, in this subsection, the control strategy was tested by using wind profile (as shown in Fig. 13), although this wind profile is rather unrealistic in nature.

However, the step changes of wind are suitable to explore the transient characteristic that may be not obvious in the random wind speed profile. For the wind speed profile in Fig. 13, the responses of the rotor speed and the stator power output are depicted in Figs. 14.

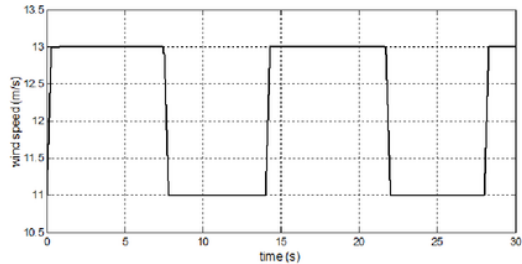


Fig. 13. Almost step changes of the wind speed

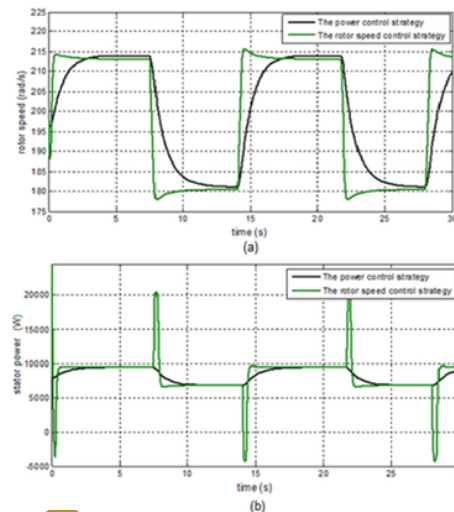


Fig. 14. Response of the rotor speed (a) and the stator power (b) under two different control strategies with wind profile in Fig. 13

From Fig. 14(a) it can be seen that the transient response of the rotor speed under PCS and RSCS are easy to distinguish: in the event of sudden wind changes, the rotor speed under PCS will gradually change.

This is due to two main factors: (1) for every wind change, the turbine inertia (although relatively small) will prevent the rotor speed from being suddenly changed, (2) in the PCS, the reference will also gradually change until a new equilibrium is reached. Whereas the rotor speed under the RSCS will follow the reference change quickly. The reference changes in the RSCS itself is proportional to the wind speed change, so if the wind

speed suddenly changes, then the rotor speed reference of the RSCS will also change in the same way.

The more interesting result came from the transient state of the stator power output. By looking at Fig. 14(b), the trend of the stator power under the PCS and the RSCS look very different: for sudden changes of the wind speed, the stator power under the PCS will gradually change in the same direction.

This characteristic basically comes from the fact that under the PCS, the stator reference changes for the sudden change of wind speed will be gradual, and in the other side, the transfer function of the model (as discussed in section 3) <sup>53</sup>ld be simplified to a first order system.

Whereas, for sudden changes of wind speed, the stator power under the RSCS will also change almost instantaneously and rapidly.

However, as shown from the plot, before the stator power settles to the new equilibrium, the stator power will experience overshoot/undershoot for a while at the opposite direction. This is due to the fact that the mode of the PI controller for the RSCS is a direct mode: for every positive error, the control system will generate a negative control output and vice versa until new equilibrium is reached.

Through a careful observation of Fig. 14(b), it can also be seen that the use of the RSCS for controlling wind turbine systems could make the DFIG enter the motoring mode in the transient state although for a while (generate negative stator power).

### IV.3. Control Loop Sensitivity

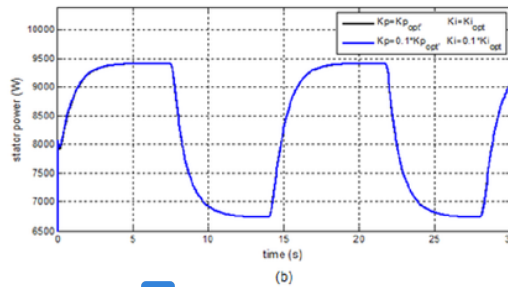
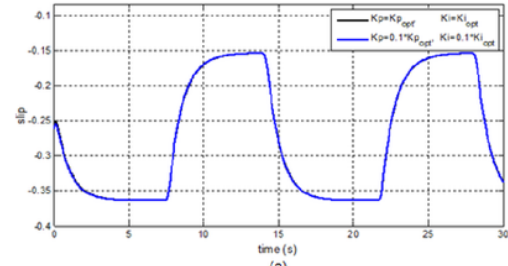
In this study, the sensitivity of the PSC and RSCS control loops are investigated simply by comparing the transient performance of each control strategy by using two different PI parameters: the optimum PI parameters ( $Kp_{opt}$  and  $Ki_{opt}$ ) and the non-optimum PI parameter ( $0.1Kp_{opt}$  and  $0.1Ki_{opt}$ ). The wind profile used in this <sup>6</sup>stigation is depicted in Fig. 13.

Fig. 15(a) and Fig. 15(b) respectively show the plots of the slip and stator power under the PCS with these different PI parameters. From the plots, it is shown that the slip and stator power response under PCS are almost the same for the different PI control parameters. In other words, the performance of the PCS is relatively independent <sup>6</sup>m the chosen PI control parameters.

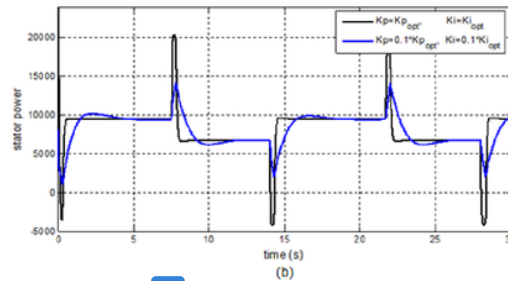
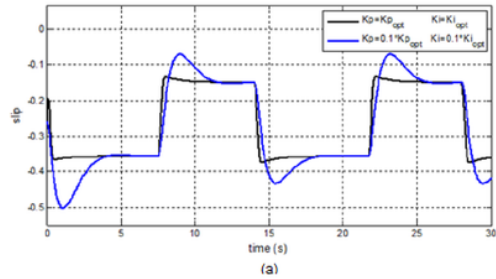
Whereas, Fig. 16(a) and Fig. 16(b) show the plots of the slip and power stator under the RSCS respectively. From the plots, it is shown that the slip and stator power dynamic under the RSCS for the different PI parameters depicts different characteristics.

In this case, for the non-optimal value of PI parameters, the slip speed will have more overshoot and at the same time the stator power will be more damped for the change of the wind speed compared to the optimal ones.

Thus, the control loop performance of the RSCS is more sensitive to the variation of control parameters compared to PCS.



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Figs. 15. The slip (a) and the stator power (b) under the power control strategy with different parameters



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Figs. 16. The <sup>69</sup> (a) and the stator power (b) under the rotor speed control strategy with different parameters

## V. Conclusion

The analysis and comparison of the performance of DFIG-small scale wind turbines under the PCS and the RSCS have been investigated in this paper. By using the simulation study, it is shown that the output variable dynamics of the DFIG-based wind turbine system under fluctuated wind speed condition strongly depends on the

utilized DFIG-system control strategy. Based on the simulation results, the power coefficient result from the RSCS compared to the PCS during wind turbine operation is almost settled in its optimum value [52] dependently from wind speed fluctuation. However, from the power quality point of view, it is shown that the PCS is superior than the RSCS. In this case, the power dynamics resulted from the PCS is more dampened and smooth compared to the power generated by the RSCS for the same wind fluctuation. From the simulation study, it is also shown that compared to the PCS, [51] RSCS is very sensitive to the change of the control parameters of the DFIG-wind turbine system.

## Appendix

### DFIG parameter:

$R_r=0.65$  ohm,  $L_r= 67.6e-3$  (H),  $L_m= 63.9e-3$  (H),  $R_s = 0.65$  (Ohm),  $L_s = 67.6e-3$  (H), Pole=2,  $K_e=5.614$ ,  $v_s=311$ ,  $\omega_{se}=2 \times \pi \times 50$  rad/s

### Wind Turbine parameters:

Blade radius =2.5 (m), Gear ratio=5,  $J_{gen}=0.0203$  (kgm<sup>2</sup>),  $J_{WT}=17.4$  (kgm<sup>2</sup>),  $J=0.717$ (kgm<sup>2</sup>)

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